

21 February 2012

From Dictatorship to Democracy 2.0

Today we examine Gene Sharp's legendary handbook of non-violent resistance "From Dictatorship to Democracy" in the context of the new information revolution we have been discussing this week.

Prepared by: ISN staff

"But liberty, when men act in bodies, is power." - Edmund Burke, *Reflections on the Revolution in France* (1790)

Yesterday we highlighted what have already become classic statements about the role of social media in political change. Indeed, well before the Arab Spring and the current direct democracy movement, Clay Shirky not only argued that social media represented the "greatest increase in human expressive capability in history," but that it would radically empower individuals at the expense of their own governments. In response, a more skeptical Evgeny Morozov cautioned that there was a flip side to this 'good news' story – i.e., both the internet and social media can just as readily enhance the legitimacy of authoritarian regimes (and stifle political change) than not. Well, given the dual nature that social media has, and given our incorrigible optimism here at ISN, today we would like to burnish further the pro-empowerment case. In particular, we would like to look at Gene Sharp's legendary handbook of non-violent resistance, *From Dictatorship to Democracy: A Conceptual Framework for Liberation*, but from a social media perspective. In doing so, we hope to reiterate – yet again – the dominant theme of our Editorial Plan over the last fourteen weeks – the structural changes changing our world today are in fact empowering individuals as never before.

Since its publication in 1993, Gene Sharp's 93-page handbook of non-violent resistance (which lists 198 specific tactics one can use, including the use of symbolic colors and appeals to international audiences) has arguably become THE how-to guide to topple autocrats. To date, some of its biggest 'victims' include Slobodan Milosevic in Serbia, Victor Yanukovic in Ukraine, Eduard Shevardnadze in Georgia, and Askar Akaev in Kyrgyzstan. In all cases, these regimes had a common denominator – they were all largely undone by popular movements employing Sharp-like methods. Most recently, such methods have been influential in the toppling of Zine El Abidine Ben Ali in Tunisia and Hosni Mubarak in Egypt and have also been used (in part) in Libya and Syria. Indeed, their use has prompted some commentators to speak of Gene Sharp as the Arab Spring's "intellectual mastermind." Although such an accolade may not be deserved, the trajectory of the Arab Spring and the methods that Sharp recommends did coincide. Whether the demonstrators realized it or not, the uprisings were right out of his playbook.

Sharp's suggested tools for social change are inspired by an incisive theoretical understanding of how

authoritarian regimes maintain power. His principles of non-violence, as he is always keen to stress, are not altruistic or derived from moral or religious imperatives. Instead, the methods he advocates are a form of *armed* political struggle – with psychological and social 'weapons' being used rather than military-industrial ones. Not only is non-violence a viable alternative to violence, Sharp argues, but in most cases it is also less costly and far more effective. The empirical hypothesis, in other words, that violence is the most effective way of pursuing political objectives, although still believed by many, is false. And, as regime after regime collapses, the weight of evidence supporting Sharp's arguments is becoming overwhelming.

The key insight behind Sharp's prescriptions is that power is not "monolithic." It does not derive from any single source, or from those who are at any given time "in power." The key tool of contemporary authoritarian regimes, Sharp implies, is the idea that power ought to be measured *exclusively or primarily* in terms of the capacity for violence. This idea leads to the popular belief that a regime's power is irresistible because of its exclusive possession of military-industrial weaponry. In other words, the power of authoritarian regimes derives not from their actual military might but from the *fear* of their might and the popular *belief* that obedience is the only realistic option. Indeed, when rebel groups resort to violence they buy in to this authoritarian propaganda (whether they ultimately win or lose) by agreeing to fight the regime on its own terms (which means they usually lose).

Violent resistance, according to Sharp, not only perpetuates an idea that is at the heart of the regime's power, it also targets the regime where it usually is strongest, rather than where it is weakest. The true centers of gravity lie elsewhere – i.e., in the popular legitimacy required by all regimes and the degree of cooperation and acquiescence they must elicit from the people. If a regime can be credibly denied this legitimacy (especially in the eyes of the police and army, for example), it will lose control of its means of repression and eventually crumble.

Because authoritarian regimes understand all too well that they have this vulnerability, one of their most important self-survival tactics is what Sharp and others have called the "atomization" of society, as Hannah Arendt famously describes in *The Origins of Totalitarianism*:

"In Nazi Germany there were no acquittals. To be arrested was to be convicted -- more, it was to be dropped off the face of the earth, to be erased from memory. For if anyone dared to ask why, if any loved one inquired as to what charge was made, that person was next. By terror -- culminating in the concentration and extermination camps -- the people are made incommunicado -- atomized -- afraid to bare their thoughts to their closest friends."

Though fully totalitarian regimes are extreme cases, the deliberate atomization of society through fear is a tactic common to all dictatorships. Because legitimacy is a mass phenomenon, credibly denying it to a hostile regime requires a 'critical mass' of people – and it generally requires them to appear together in public to make their case. This is exactly what atomization strives to make impossible. Its goal (through a variety of means) is to turn every individual in a society into an informant, and thereby preclude in advance any 'dangerous' exercise of popular power.

And this, Shirky claimed yesterday, is where technology can change things. If social media and other related technologies have the capacity to be politically transformative, it is because they make atomization infinitely more costly for authoritarian regimes. Indeed, one of the most striking things about Gene Sharp's account of power (seemingly inspired by Hannah Arendt) is that it explains why social media is so politically significant. Atomization works not only by cutting individuals off from potentially like-minded others, but by preventing them from even believing that they are out there. Social media's "many-to-many" communications model is therefore revolutionary from a *strategic* perspective because it makes the possibility of de-atomization real and obvious. Today, as never

before, almost everyone knows that it is technologically possible to reach and communicate with almost everyone else.

As we write, *From Dictatorship to Democracy* is probably available on the internet in Syria. We also know <u>from a recent documentary</u> of a local Facebook group that studies it and possibly deploys some of Sharp's tactics. Perhaps more importantly, however, Syrians know what social media is. They know – as all but a handful of the world's people now know – that they *should* be able to reach and communicate their ideas with each other even if the Assad regime (or Mark Zuckerberg, one day) considers it too dangerous for them to do so. Because of social media power may become decentralized in the future – and that would represent true structural change in today's international system.

Editor's note:

For more content on "The New Information Revolution," please see our <u>dossier</u> on the topic.

Publisher

International Relations and Security Network (ISN)

Creative Commons - Attribution-Noncommercial-No Derivative Works 3.0 Unported

http://www.isn.ethz.ch/Digital-Library/Articles/Detail/?id=137746&Ing=en

ISN, Center for Security Studies (CSS), ETH Zurich, Switzerland